

Appln. Ser. No. 09/850,324 Attv. Dkt. No. 044829-0127

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

McDonald, et al

Title:

ANTIMICROBIAL POLYMER

Appl. No.:

09/850,324

Filing

05/07/2001

Date:

Examiner:

Ana Lucrecia Woodward

Art Unit:

1714

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450. EV 227047832 US 07/25/03 (Express Mail Label Number) (Date of Deposit) Roberta A. Cooper (Printed Name)

(Signature)

## GROUP 1700 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

M. Scott McBride

Attorney for Applicant

Registration No. 52,008

Date

FOLEY & LARDNER Suite 3800

777 East Wisconsin Avenue

Milwaukee, Wisconsin 53202-5306

7/25/03

Telephone:

(414) 297-5529

Facsimile:

(414) 297-4900

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Redication Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sheet

dward Complete if Known Substitute for form 1449B/PTO Application Number 09/850,324 INFORMATION DISCLOSURE 05/07/2001 STATEMENT BY APPLICANT Filing Date William F. McDonald First Nam d Inventor Date Submitted: July 25, 2003 Group Art Unit 1714 Ana Lucrecia Woodward **Examiner Name** (use as many sheets as necessary) Attorney Docket Number 044829-0127 of

	U.S. PATENT DOCUMENTS						
Fyenines	Cite	U.S. Patent Docum		ment Name of Patentee or Applicant of	Date of Publication of	Pages, Columns, Lines, Where Relevant	
Initials*		No.1	Number	Kind Code <sup>2</sup> (if known)	Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		6,340,465	B1	HSU Li-Chien et al.	01-22-2002		
		6,319,674	B1	Fulcrand et al.	11-20-2001		
	ĺ	6,232,434	B1	Stamler et al.	05-15-2001		
		6,232,336	B1	Hrabie et al.	05-15-2001		
		6,224,579	B1	Modak et al.	05-01-2001		
		6,162,487	Α	Darouiche	12-19-2000		
	1	6,121,027	Α	Clapper et al.	09-19-2000		
		6,087,462	Α	Bowers et al.	07-11-2000		
		6,056,967	Α	Steuerle et al.	05-02-2000		
		5,962,520	Α	Smith et al.	10-05-1999		
		5,762,638	Α	Shikani et al.	06-09-1998		
		5,709,672	Α	Illner	01-20-1998		
		5,650,447	Α	Keefer et al.	07-22-1997		
		5,641,855	Α	Scherr et al.	06-24-1997		
		5,405,919	Α	Keefer et al.	04-11-1995		
		5,344,411	Α	Domb Abraham J et al.	09-06-1994		
		5,328,698	Α	Onwumere, et al.	07-12-1994		
		5,316,912	Α	Heimgartner et al.	05-31-1994		
		5,069,907	Α	Mixon et al.	12-03-1991		
		4,999,210	Α	Solomon et al.	03-12-1991		
		4,987,181	Α	Bichon et al.	01-22-1991		
		4,865,870	A	Hu et al.	09-12-1989		
		4,786,556	Α	Hu et al.	11-22-1988		
		4,720,512	A	Hu et al.	01-19-1988		
		4,678,660	Α	McGary et al.	07-07-1987		
		4,675,347	A	Mochizuki et al.	06-23-1987		
		4,642,104	A	Sakamoto et al.	02-10-1987		
		4,605,564	Ā	Kulla, et al.	08-12-1986		
		4,442,133	A	Greco et al.	04-10-1984		
		4,419,444	A	Quash	12-06-1983		
		4,357,476	A	Reinchr et al.	10-02-1982		
		4,323,557	Â	Rosso et al.	04-06-1982		
	<u> </u>	4,310,509	A	Berglund et al.	01-12-1982		
		4,302,368	Â	Dudley et al.	11-24-1981		
		4,217,338	A	Quash	08-12-1980		
	-	4,128,633	A	Lorenz, et al.	12-05-1978		
		3,695,921	A	Shepherd Thomas et al.	10-03-1972		
	-	0,000,021	'`	Chiefinal France Country			

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231. 001.1461706.1

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMER Reduction Act of £995, no persons are required to respond to a collection of information unless it contains a valid OMB control Under the Paperw number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: July 25, 2003

(use as many sheets as necessary) Sheet 2 of

	Complete if Known	V. 7
Application Number	09/850,324	3/2 / CA
Filing Dat	05/07/2001	70 6 VI
First Nam d Inventor	William F. McDona	ld Charles
Group Art Unit	1714	<b>3 9 1</b>
Examiner Name	Ana Lucrecia Woo	dward
Attorney Docket Number	044829-0127	0

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
		WO 95/05400	3M	02-23-1995			
		WO 86/02561		05-09-1986			
		WO 94/13870	Warner Lambert	06-23-1994			
		EP 0 969 056	Aventis Res & Tech GMBH	01-05-2000			
		EP 0 882 461	Unitika LTD	12-09-1998			
		GB 2 225 580	Smith & Nephew	06-06-1990			
		GB 2 153 235	Meadox Medicals Inc.	08-21-1985			
		GB 2 084 466		04-15-1982			

		NON PATENT LITERATURE DOCUMENTS	
Examiner   Cite   item (book, magazine, journal, serial, symposium, catalog, etc.) date		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		Satoh et al., "Immobilization of Saccharides and Peptides on 96-Well Microtiter Plates with Methyl Vinyl ether-Maleic Anhydride Copolymer", Anal. Biochem., 260, 96-102, 1998.	
		Vercruysse et al., "Synthesis and in Vitro Degradation of New Polyvalent Hydrazide Cross-Linked Hydrogels of Hyaluronic Acid", Bioconj. Chem., 8, 686-694, 1997.	
		O'Shannessy et al., Immobilization of Glycoconjugates by Their Oligosaccharides: Use of Hydrazido-Derivatized Matrices, Anal. Biochem., 191, 1-8, 1990.	
		Ito et al., Preparation of High Capacity Affinity Adsorbents Using New Hydrazino-Carriers and Their Use for Low and High Performance Affinity Cheomatography of Lectins, J. Biochem. (Tokyo), 99, 1267-1272.	
		Junowicz et al., The Derivatization of Oxidized Polysaccharides for Protein Immobilization and Affinity Chromatography Biochim. Biophys. Acta 428, 157-165, 1976.	

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231. 001.1461706.1

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

JUL 2 5 2003 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute of form 1449B/PTO Complete if Known ward y Applicati n Number 09/850,324 INFORMATION DISCLOSURE 05/07/2001 STATEMENT BY APPLICANT Filing Date William F. McDonald First Nam d Inv ntor Date Submitted: July 25, 2003 Group Art Unit 1714 Ana Lucrecia Woodward **Examiner Name** (use as many sheets as necessary) Sheet 3 of Attorney Docket Number 044829-0127

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		Miron et al., Polyacrylhyrdazio-Agarose: Preparation via Periodate Oxidation and use for Enzyme Immobilization and Affinity Chromatography, J. Chromatogr., 215, 55-63, 1981.	
		Heimgartner, et al., Polyacrylic Polyhydrazides as Reagents for Detection of Glycoproteins, Anal. Biochem., 181, 182-189, 1989.	
		Fleminger et al., Oriented Immobilization of Peridate-Oxidized Monoclonal Antibodies on Amino and Hydrazide Derivatives of Eupergit C, Applied Biochem., 23, 123-137, 1990.	
		Del Rosso et al., Binding of the Basement-Membrane Glycoprotein Lamnin to Glycosaminoglycans, Biochem. J., 199, 699-704, 1981.	
		Henderson et al., Immobilised Phosphines Incorporation the Chiral Bioploymers Chitosan and Chitin, J. Chem. Soc., Chem. Commun., 9, 1863-1864, 1994.	
		Petach et al., A New Coupling Reagnet for the Covalent Immobilisation of Enzymes, J. Chem. Soc., Chem. Commun., 17, 2181-2182, 1994.	
		Cochrane et al., Application of Tris(hydroxymethyl) Phophine as a Coupling Agent for Alcohol Dehydrogenase Immobilization, Enzyme Microbial Technol., 18, 373-378, 1996.	
		Inman et al., Synthesis of Large Haptenic Compounds Having a Covalent Functional Group That Permits Convalent Linkage to Proteins, Cell Surfaces, Immunochemistry, 10, 153-163, 1973.	_
		Ellis et al., Water-Soluble Tris(hydroxymethyl) Phospine Complexes with Nickel, Palladium, and Platinum, Inorg. Chem., 31, 3026-3033, 1992.	

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

JUL 2 5 2003 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

AENT C a valid Olv. number. Substitute for forth 1449B/PTO Complete if Known INFORMATION DISCLOSURE Application Numb r 09/850,324 STATEMENT BY APPLICANT Filing Date 05/07/2001 William F. McDonald **First Named Inventor** Date Submitted: July 25, 2003

Group Art Unit 1714 (use as many sheets as necessary) **Examiner Name** Ana Lucrecia Woodward Sheet Attorney Docket Number 044829-0127

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	in the standard manazina introduced composition catalog atclight pagalet volume icetta numb		T <sup>6</sup>
:		Lin et al., Preparation of Surface-modified Albumin Nanospheres, Biomaterials, V. 18, N. 7, 559-565, 1997.	
-		Marconi et al., New Polyurethane Compsitions able to bond high Amounts of both Albumin and Heparin, V. 16, N. 6, 449-456, 1995.	
		Oswald et al., "Properties of a Thermostable B-Glycosides Immobilized Using Tris(hydroxymethyl) Phosphine as a Highly Effective Coupling Agent", Enzyme Microbial Technol., 23, 14-19, 1998.	
		Isosaki et al., "Immobilization of Protein Ligands with Methyl Vinyl Ether-maleic Anhydride Copolymer, J. Chromatogr., 597, 123-128, 1992.	

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231. 001.1461706.1

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.